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6-28-02 C Williams

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Likourezos et al. Examiner: Not Yet Assigned  
Serial No.: Not Yet Assigned Group: Art Unit Not Yet Assigned  
Filed: December 18, 2001 Docket: 1002 CIP III  
For: **SYSTEM AND METHOD FOR EFFECTING A REAL-TIME  
PAYMENT FOR AN ITEM WON  
ON AN ELECTRONIC AUCTION** Dated: December 21, 2001



Assistant Commissioner for Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

Sir:

Pursuant to Applicant(s) duty of disclosure, the information listed in the attached form PTO-1449 is brought to the attention of the Examiner. Copies of the listed items can be found in the three priority patent applications: U.S. Application Serial No. 09/993,818 filed on November 14, 2001 which is a continuation-in-part application of U.S. Application Serial No. 09/946,616 filed on September 5, 2001 which is a continuation-in-part application of U.S. Application Serial No. 09/764,618 filed on January 17, 2001.

The citation of the listed items is not a representation that they constitute a complete or exhaustive listing of the relevant art or that the references are prior art. The items listed are submitted in good faith, but are not intended to substitute for the Examiner's search. It is hoped, however, that in addition to apprising the Examiner of these particular items, they will assist in identifying fields of search and in making as full and complete a search as possible.

**CERTIFICATION UNDER 37 C.F.R. §1.10**

I hereby certify that this correspondence and the documents referred to as enclosed are being deposited with the United States Postal Service on date below in an envelope as "Express Mail Post Office to Addressee" Mail Label Number ET 921224961 US addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231 on January 11, 2002.

Dated: January 11, 2002

George Likourezos

The filing of this information disclosure statement is not an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This information disclosure statement is being filed within three months from the filing date of the application.

To the best of Applicants' knowledge, this information disclosure statement is being filed before the date of mailing of a first Office Action in connection with this case.

The claims of the application as now presented are believed to patentably distinguish over the prior art and to be in condition for allowance. Early and favorable consideration of the case is respectfully requested.

Respectfully submitted,



George Likourezos

Reg. No. 40,067

Applicant & Attorney for Applicants

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Brooklyn, New York 11209  
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Form PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.	SERIAL NO.
	1002 CIP III	Not Yet Assigned
	APPLICANTS	
	George Likourezos et al.	
	FILING DATE	GROUP ART UNIT
	January 11, 2002	Not Yet Assigned

JC021 U.S. PTO  
 10/044075  
 01/11/02

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,890,138	Mar. 30, 1999	Godin et al.			

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		WO 01/04816 A1	International Publication Date: January 18, 2001	PCT				
		WO 01/33447 A1	International Publication Date: May 10, 2001	PCT				
		WO 01/71452 A2	International Publication Date: September 27, 2001	PCT				

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

		http://www.paydirect.yahoo.com web site pages, printed on July 17, 2001, 15 pages.
		PDG Auction User Guide, Version 1.0, copyright 1998, 1999, 2000, PDG Software, Inc., 137 pages.
		http://www.bidfind.com web site pages, printed on July 18, 2001, 8 pages.
		http://www.pdgsoft.com web site pages, printed on July 18, 2001, 5 pages.

EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO-1449  U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE  INFORMATION DISCLOSURE STATEMENT BY APPLICANT  (Use several sheets if necessary)	ATTY. DOCKET NO.  1002 CIP III	SERIAL NO.  Not Yet Assigned
	APPLICANTS  George Likourezos et al.	
	FILING DATE  January 11, 2002	GROUP ART UNIT  Not Yet Assigned

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

## OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

			http://www.PayPal.com web site pages, printed on January 29, 2001, copyright 2001 PayPal, 27 pages.
			http://www.billpoint.com web site pages, printed on December 29, 2000, copyright 2000, Billpoint, Inc., 12 pages.

EXAMINER	DATE CONSIDERED
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-